

## PATENTS Attorney Docket No. 293/034 Div. 2

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#### PATENT APPLICATION

Applicants : Todd A. Berg et al.

Application No.: 10/683,537 Confirmation No.: 2610

Filed : October, 2003

For : MEDICAL GRAFT CONNECTOR OR PLUG

STRUCTURES, AND METHODS OF MAKING AND

INSTALLING SAME

Group Art Unit: 3738

Examiner : Not yet assigned

New York, New York 10020 April 2, 2004

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make the following documents of record in the above-identified patent application:

### Group A:

<u>U.S.</u>	Patent Documents	
Sakura, Jr.	4,214,587	(07/29/80)
Dotter	4,503,569	(03/12/85)
Gupte et al.	4,592,754	(06/03/86)
Kornberg	4,617,932	(10/21/86)
Jervis	4,665,906	(05/19/87)
Lazarus	4,787,899	(11/29/88)
Lazarus	5,104,399	(04/14/92)
Granger et al.	5,122,156	(06/16/92)
Citron	5,135,467	(08/04/92)
Trout, III	5,207,695	(05/04/93)
Clouse	5,211,658	(05/18/93)
Maginot	5,211,683	(05/18/93)
Kaster et al.	5,234,447	(08/10/93)

U.S. Patent Docum	nents Group A contin	ued:
Lazarus et al.	5,275,622	(01/04/94)
Maginot	5,304,220	(04/19/94)
Palmaz et al.	5,316,023	(05/31/94)
Das	5,334,217	(08/02/94)
Kelman et al.	5,354,217	(10/11/94)
Chuter		
Lazarus	5,387,235	(02/07/95)
Marin et al.	5,397,345	(03/14/95)
	5,397,355	(03/14/95)
Sideris	5,433,727	(07/18/95)
Venbrux	5,443,497	(08/22/95)
Sterman et al.	5,452,733	(09/26/95)
Maginot	5,456,712	(10/10/95)
Piplani et al.	5,489,295	(02/06/96)
Marin et al.	5,507,769	(04/16/96)
Barone et al.	5,522,880	(06/04/96)
Stevens	5,545,214	(08/13/96)
Lazarus et al.	5,562,728	(10/08/96)
Taheri	5,617,878	(04/08/97)
Dereume	5,653,747	(08/05/97)
Kim	5,676,670	(10/14/97)
Gifford, III et al.	5,695,504	(12/09/97)
Frantzen et al.	5,843,164	(12/01/98)
Ahn	5,843,170	(12/01/98)
Frantzen	5,843,175	(12/01/98)
Kleshinski	5,921,995	(07/13/99)
Goldsteen et al.	5,976,178	(07/13/33) $(11/02/99)$
Berg et al.	6,013,190	(01/11/00)
LaFontaine et al.	6,026,814	
LaFontaine et al.		(02/22/00)
	6,035,856	(03/14/00)
Bachinski et al.	6,036,702	(03/14/00)
	atent Documents:	
Australia	670,239	(01/06/94)
Great Britain	489,316	(07/25/38)
Great Britain	2,269,104 A	(02/02/94)
EDO	0 520 025 74	(0.1./0.0./0.0.)
EPO	0 539 237 A1	(04/28/93)
EPO	0 637 454 A1	(02/08/95)
EPO	0 680 734 A2	(11/08/95)
EPO	0 684 022 A2	(11/29/95)
EPO	0 701 800 A1	(03/20/96)
EPO	0 712 614 A1	(05/22/96)
EPO	0 732 088 A2	(09/18/96)
EPO	0 732 089 A2	(09/18/96)
Germany	OS 195 42 733	(07/24/97)
PCT	WO 90/00422 37	
PCT	WO 89/08433 A1	(09/21/89)
	WO 93/00868 A1	(01/21/93)
PCT	WO 93/20757 A2	(10/28/93)
PCT	WO 94/01056 A1	(01/20/94)
PCT	WO 95/21592 A1	(08/17/95)
PCT	WO 95/32757 A1	(12/07/95)
PCT	WO 96/01591 A1	(01/25/96)

	<u>Foreign</u>	<u>Patent</u>	Documer	nts	Group	A	<u>continued:</u>		
	PCT		WO	96/	01599	<b>A</b> 1	(01/25/96)		
	PCT		WO	96/	14808	A1	(05/23/96)		
	PCT		WO	96/	18361	<b>A</b> 1	(06/20/96)		
	PCT		WO	96/	22745	A1	(08/01/96)		
	PCT		WO	96/	25897	A2	(08/29/96)		
	PCT		WO	97/	13463	<b>A</b> 1	(04/17/97)		
	PCT		WO	97/	13471	<b>A</b> 1	(04/17/97)		
	PCT				27898		(08/07/97)		
	PCT				02099				
	PCT		WO	98/	03118	A1	(01/29/98)		
	PCT		WO	98/	19629	A2	(05/14/98)		
	PCT		WO	98/	19629	А3	(09/11/98)		
	PCT		WO	98/	38939	A1	(09/11/98)		
	PCT		WO	98/	38941	<b>A</b> 1	(09/11/98)		
	PCT		WO	98/	38942	<b>A1</b>	(09/11/98)		
Group	Group B:								
			Patent			<u>cs</u>	111		
	Regan et a	.⊥ .		083,			(04/11/78)		
	Kaster			368,			(01/18/83)		
	Krumme			185,			(12/04/84)		
	Shiber	- 7		)41,			(08/20/91)		
	Kaster et			366,			(11/22/94)		
	Hildwein e			391,			(02/21/95)		
	Tovey et a			178,			(12/26/95)		
	Gryskiewic			518,			(04/08/97)		
	Popov et a			702,			(12/30/97)		
	Hinchliffe			333,			(11/10/98)		
	Ratcliff e			910,			(06/08/99)		
	Bolduc et			76,			(11/02/99)		
	Berg et al			)74,			(06/13/00)		
	Swanson et			13,			(09/05/00)		
	Sullivan e			L20,			(09/19/00)		
	Bolduc et	aı.	6,1	193,	734		(02/27/01)		
		Foreic	n Pater				<u>:</u>		
	PCT				55027		(12/10/98)		
	PCT		WO	99/	62408	A1	(12/09/99)		

The aforementioned documents are listed on the accompanying Form PTO-1449 (submitted in duplicate). The documents listed in <u>Group A</u> were previously submitted by applicants to the Patent Office in Berg et al. Patent Application No. 09/569,607, filed May 10, 2000 (now U.S. Patent No. 6,660,015), from which the present application claims priority under 35 U.S.C. § 120. Accordingly, pursuant to 37 C.F.R. § 1.98(d), no copies of the

documents that were previously submitted in the parent case accompany this Information Disclosure Statement.

Copies of the documents listed in <u>Group B</u> are enclosed herewith.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

It is respectfully requested that these documents be (1) fully considered by the United States Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director of the United States Patent and Trademark Office is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this Statement is transmitted herewith.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,

Laura A. Sheridan

Registration No. 48,446

Agent for Applicants

FISH & NEAVE

Customer No. 1473

1251 Avenue of the Americas New York, New York 10020-1105

Tel.: (212) 596-9000 Fax: (212) 596-9090

I hereby certify that this
Correspondence is being
deposited with the U.S.
Postal Sarvica as First
Class Mail in an envelope
Addressed to:
Commissionar for Patents
P.O. Box 14:00

Claire I Saizul Jan Jonustian

Alexandria, VA 22313-1450 on

Signature of Person Signing

APR 0 5 2004

## U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

# INFORMATION DISCLOSURE STATEMENT BY APPLICANTS

<b>ATTY. DOCKET NO.</b> 293/034 Div. 2	<b>APPLN. NO.</b> 10/683,537
APPLICANTS Todd A Berg et al.	CONFIRMATION NO. 2610
FILING DATE October 9, 2003	GROUP 3738

#### **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,083,162	04/11/78	Regan et al.	52	699	
*	4,214,587	07/29/80	Sakura, Jr.	128	334R	
	4,368,736	01/18/83	Kaster	128	334C	
	4,485,816	12/04/84	Krumme	128	334R	<del> </del>
	4,503,569	03/12/85	Dotter	3	1.4	_
	4,592,754	06/03/86	Gupte et al.	623	1	
	4,617,932	10/21/86	Kornberg	128	334R	
<del> </del>	4,665,906	05/19/87	Jervis	128	92YN	
	4,787,899	11/29/88	Lazarus	623	1	
	5,041,082	08/20/91	Shiber	604	22	
	5,104,399	04/14/92	Lazarus	623	1	-
	5,122,156	06/16/92	Granger et al.	606	219	
	5,135,467	08/04/92	Citron	600	16	
	5,207,695	05/04/93	Trout, III	606	153	
	5,211,658	05/18/93	Clouse	623	1	
	5,211,683	05/18/93	Maginot	128	898	
3	5,234,447	08/10/93	Kaster et al.	606	153	
	5,275,622	01/04/94	Lazarus et al.	623	1	
	5,304,220	04/19/94	Maginot	623	1	
	5,316,023	05/31/94	Palmaz et al.	128	898	
	5,334,217	08/02/94	Das	606	213	
	5,354,336	10/11/94	Kelman et al.	623	6	
	5,366,462	11/22/94	Kaster et al.	606	153	
	5,387,235	02/07/95	Chuter	623	1	
	5,391,156	02/21/95	Hildwein et al.	604	174	· · · · · · · · · · · · · · · · · · ·
	5,397,345	03/14/95	Lazarus	623	1	······································
	5,397,355	03/14/95	Marin et al.	623	12	
	5,433,727	07/18/95	Sideris	606	213	
····	5,443,497	08/22/95	Venbrux	623	1	
	5,452,733	09/26/95	Sterman et al.	128	898	

#### **EXAMINER**

### **DATE CONSIDERED**

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.

**FORM PTO-1449** 

## U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT BY APPLICANTS

<b>ATTY. DOCKET NO.</b> 293/034 Div. 2	<b>APPLN. NO.</b> 10/683,537
APPLICANTS Todd A Berg et al.	CONFIRMATION NO. 2610
FILING DATE October 9, 2003	GROUP 3738

	5,456,712	10/10/95	Maginot	623	1.13	
	5,478,354	12/26/95	Tovey et al.	606	219	
	5,489,295	02/06/96	Piplani et al.	623	1	· · · · · · · · · · · · · · · · · · ·
	5,507,769	04/16/96	Marin et al.	606	198	<del> </del>
	5,522,880	06/04/96	Barone et al.	623	1	
	5,545,214	08/13/96	Stevens	623	2	
	5,562,728	10/08/96	Lazarus et al.	623	1	
	5,617,878	04/08/97	Taheri	128	898	
	5,618,311	04/08/97	Gryskiewicz	606	216	
	5,653,747	08/05/97	Dereume	623	1	
	5,676,670	10/14/97	Kim	606	108	
	5,695,504	12/09/97	Gifford, III et al.	606	153	
	5,702,412	12/30/97	Popov et al.	606	159	
	5,833,698	11/10/98	Hinchliffe et al.	606	153	
	5,843,164	12/01/98	Frantzen et al.	623	1	
	5,843,170	12/01/98	Ahn	623	1	
	5,843,175	12/01/98	Frantzen	623	1	
	5,910,155	06/08/99	Ratcliff et al.	606	213	
	5,921,995	07/13/99	Kleshinski	606	153	
	5,976,159	11/02/99	Bolduc et al.	606	142	
	5,976,178	11/02/99	Goldsteen et al.	623	1	<del> </del>
	6,013,190	01/11/00	Berg et al.	216	34	
	6,026,814	02/22/00	LaFontaine et al.	128	898	
	6,035,856	03/14/00	LaFontaine et al.	128	898	<del> </del>
	6,036,702	03/14/00	Bachinski et al.	606	153	
-	6,074,416	06/13/00	Berg et al.	623	1	
	6,113,612	09/05/00	Swanson et al.	606	153	
	6,120,432	09/19/00	Sullivan et al.	600	36	
	6,193,734	02/27/01	Bolduc et al.	606	153	
				000	100	<u> </u>
					— <del>-</del>	·
						·

## **EXAMINER**

### **DATE CONSIDERED**

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.

**FORM PTO-1449** 

## U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

# INFORMATION DISCLOSURE STATEMENT BY APPLICANTS

<b>ATTY. DOCKET NO.</b> 293/034 Div. 2	<b>APPLN. NO.</b> 10/683,537
APPLICANTS Todd A Berg et al.	CONFIRMATION NO. 2610
FILING DATE October 9, 2003	GROUP 3738

#### **FOREIGN PATENT DOCUMENTS**

EXAMINER	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION
INITIAL						YES	NO
	670,239	01/06/94	Australia	A61F	2/06		
•	489,316	07/25/38	Great Britain				
•	2,269,104 A	02/02/94	Great Britain	A61F	2/06		
	0 539 237 A1	04/28/93	EPO	A61F	2/06		
·	0 637 454 A1	02/08/95	EPO	A61M	25/10		
	0 680 734 A2	11/08/95	EPO	A61F	2/06		
	0 684 022 A2	11/29/95	EPO	A61F	2/06		
	0 701 800 A1	03/20/96	EPO	A61F	2/06	-	
.,,	0 712 614 A1	05/22/96	EPO	A61F	2/06		
-	0 732 088 A2	09/18/96	EPO	A61F	2/06		
	0 732 089 A2	09/18/96	EPO	A61F	2/06		
	OS 195 42 733	07/24/97	Germany	A61B	17/11		
	WO 89/08433 A1	09/21/89	PCT	A61F	2/04		
	WO 93/00868 A1	01/21/93	PCT	A61F	2/06		
	WO 93/20757 A2	10/28/93	PCT	A61B	17/11		
2	WO 94/01056 A1	01/20/94	PCT	A61F	2/04		
	WO 95/21592 A1	08/17/95	PCT	A61F	2/06		
	WO 95/32757 A1	12/07/95	PCT	A61M	29/00		
	WO 96/01591 A1	01/25/96	PCT	A61B	17/22		
	WO 96/01599 A1	01/25/96	PCT	A61F	2/06		
	W0 96/14808 A1	05/23/96	PCT	A61F	2/02		
	WO 96/18361 A1	06/20/96	PCT	A61F	2/06		
	WO 96/22745 A1	08/01/96	PCT	A61F	2/06		
	WO 96/25897 A2	08/29/96	PCT	A61F	2/06		
	WO 97/13463 A1	04/17/97	PCT	A61B	17/00		
	WO 97/13471 A1	04/17/97	PCT	A61B	19/00		<del></del> .
	WO 97/27898 A1	08/07/97	PCT	A61M	29/00		
	WO 98/02099 A1	01/22/98	PCT	A61B	17/00		
<del></del>	WO 98/03118 A1	01/29/98	PCT	A61B	17/11		
	WO 98/19629 A2	05/14/98	PCT	A61F	2/06		
	WO 98/19629 A3	09/11/98	PCT	A61F	2/06	-	

#### **EXAMINER**

## **DATE CONSIDERED**

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		<b>ATTY. DOCKET NO.</b> 293/034 Div. 2			<b>APPLN. NO.</b> 10/683,537				
INFORMATION DISCLOSURE STATEMENT BY APPLICANTS				APPLICANTS Todd A Berg et al.			CONFIRMATION NO 2610		
			·· <del>-</del>	FILING DA October 9,			<b>GRC</b> 3738		
WC	98/38939 A1	09/11/98	PCT		A61B	19/	00	<u> </u>	T
WC	98/38941 A1	09/11/98	PCT		A61B	19/			
WC	98/38942 A1	09/11/98	PCT		A61B	19/		-	<del></del>
WC	98/55027 A2	12/10/98	PCT		A61B	17/			1
WC	99/62408 A1	12/09/99	PCT		A61B	17/	08		
									<u> </u>

OTHER DOCUMENTS Including Author, Title, Date, Pertinent Pages, Etc.

EXAMINER INITIAL

**EXAMINER** 

**DATE CONSIDERED**